

TRIPOD Group

Responsible Minerals Policy

As many mines in the Democratic Republic of the Congo (DRC) are controlled by interest parties that are causing serious issues such as armed conflict and human rights violations. These issues have influenced the DRC and its adjoining countries in the region. (Including: Angola, Burundi, Central African Republic, DRC, Rwanda, South Sudan, Tanzania, Uganda, and Zambia etc.) In order to prevent human rights violations, TRIPOD establishes the Responsible Minerals Policy.

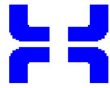
TRIPOD aims to comply with the requirements for conflict minerals, responsible procurement and committed to supporting responsible sourcing of conflict minerals from the DRC region (including conformant smelters within the DRC) and other conflict-affected or high-risk areas to ensure that: (1) Do not directly or indirectly: (a) finance armed groups through mining or mineral trading in the DRC, adjoining countries or other conflict-affected or high-risk areas, or (b) contribute to child labor, human rights abuses or environmental pollution; or (2) any 3TG in supplied materials are from recycled or scrap sources. The Conflict Minerals include, but not limited to gold (Au), tantalum (Ta), tungsten (W), tin (Sn), cobalt (Co) metal, Mica and any other minerals notified by Tripod to suppliers. TRIPOD is committed to supporting responsible sourcing; we will consider suspending or terminating cooperation with suppliers in order to identify reasonable risks. TRIPOD conducts due diligence in accordance with OECD guidance.

We actively participate in and support our customers' Conflict-Free Policy. We develop programs for the responsible sourcing of minerals, and enhance training, education and management of our supply chain.

TRIPOD Action Items:

- Suppliers are required to establish a responsible mineral management system to ensure that products supplied to TRIPOD are all Conflict-Free and suppliers shall provide the same requirements to their sub-suppliers.
- Suppliers of materials containing metals or materials assessed for risk by Tripod must sign Declaration of Responsible Minerals.
- Suppliers are required to fill in the RMI_CMRT/ RMI_EMRT to disclose the smelters being utilized when the supplied materials contain gold (Au), tantalum (Ta), tungsten (W), tin (Sn), Cobalt (Co) and/or mica.
- Suppliers are required to use RMI certified smelters.
- SQE conducts on site audits for Conflict Minerals report to ensure that suppliers meet the requirements of TRIPOD Responsible Minerals Policy.

For more information about Conflict Minerals, please check the content in the following link: <http://www.conflictreesourcing.org/>



健鼎集團 負責任的礦產政策

由於剛果民主共和國(DRC)的礦業掌控在某些利益集團手中，並因利益衝突帶給當地嚴重的問題，包括武裝衝突及人權侵害，並影響鄰近國家（包含安哥拉、布隆迪、中非共和國、剛果共和國、盧旺達、南蘇丹、坦桑尼亞、烏幹達和贊比亞等）及受衝突影響和高風險地區(CAHRAs)。為減少人權侵害的事件發生，健鼎建立負責任的礦產政策：

健鼎目標是遵守有關衝突礦產和責任採購要求，健鼎致力於支持從剛果民主共和國地區（包括剛果民主共和國內的合格冶煉廠）和其他受衝突影響或高風險地區負責任地採購衝突礦產，以確保（1）不會直接或間接：（a）通過剛果民主共和國、鄰國或其他受衝突影響或高風險地區的採礦或礦產交易資助武裝團體，或（b）助長童工、侵犯人權或環境污染；或（2）供應材料中的任何 3TG 來自回收或廢料來源。衝突礦產包括金(Au)、鉭(Ta)、鎢(W)、錫(Sn)、鈷(Co)、雲母和健鼎通知供應商的其他礦產。健鼎致力於以負責任的方式進行採購，並將考慮暫停或終止與供應商的合作，以確定合理的風險。健鼎根據經合組織的指導進行盡職調查。

我們積極參與並支持客戶的無衝突礦產政策，我們制定負責任的採購礦物方案，並加強供應鏈的培訓，教育和管理。

健鼎行動：

- 要求供應商建立負責任的礦產管理機制，以確保提供健鼎集團之產品皆為無衝突礦產之產品，並向其上游廠商提出同樣的要求；
- 凡供應含金屬之物料或健鼎評估風險之物料供應商需簽署《負責任的礦產宣告書》；
- 所供應物料成份中含金(Au)、鉭(Ta)、鎢(W)、錫(Sn)、鈷(Co)、雲母時，供應商需填寫 RMI_CMRT /RMI_EMRT 調查表以揭露該礦產之熔煉廠資訊；
- 供應商需使用RMI 認證之合格熔煉廠；
- SQE 對供應商進行衝突礦產相關稽核，確保供應商符合健鼎負責任的礦產管理要求。

關於衝突礦產更多資訊請於以下鏈接獲取：<http://www.responsiblemineralsinitiative.org/>